

# Quantum Interactive Proofs with Weak Error Bounds

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Joint work with

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# A motivation for main result

$\text{QIP} = \text{PSPACE}$  [Jain, Ji, Upadhyay, Watrous STOC'10]

# A motivation for main result

$\text{QIP} \subseteq \text{PSPACE}$  [Jain, Ji, Upadhyay, Watrous STOC'10]

Proof requires the assumption of bounded error

$\text{IP} \subseteq \text{PSPACE}$  [Feldman'86]

This assumption is necessary  
(unless  $\text{PSPACE} = \text{EXP}$ )



Holds even without error bounds

## Why are these results so different?

Main result:

$\text{QIP}$  with suitable weaker error bounds =  $\text{EXP}$

Also:  $\text{IP} \neq \text{QIP}$  without error bounds (unless  $\text{PSPACE} = \text{EXP}$ )

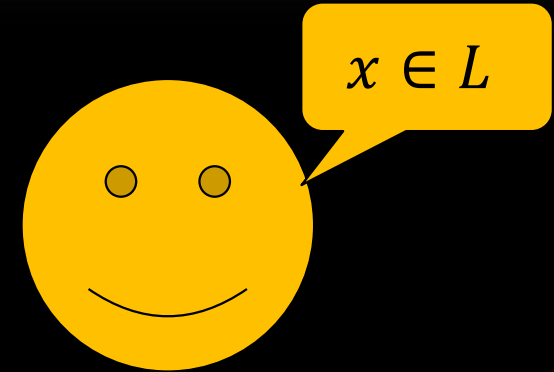
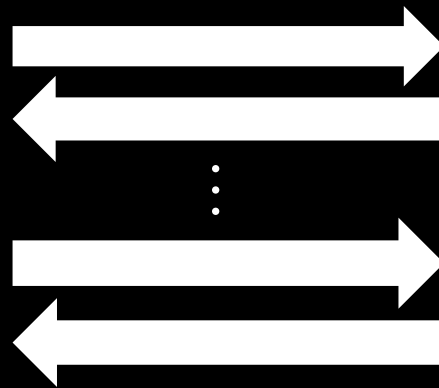
# Outline

- Classical and quantum interactive proofs
  - $IP \subseteq PSPACE$  vs.  $QIP \subseteq PSPACE$
  - Main result:  $QIP$  with  $2^{-2^{\text{poly}}}$  gap =  $EXP$
  - Proof technique:  
No-signaling 2-prover 1-round interactive proofs
  - Other results
  - Open problems
-

# Interactive proofs [Babai '85] [Goldwasser, Micali, Rackoff '85]

Verifier  
(Randomized poly-time)

Prover  
(Computationally unbounded)



- Accept (convinced)
- Reject (unconvinced)

Tries to make  $V$  accept  
with as high prob. as possible

$V$  has to decide whether prover is honest or not  
(with small error probability)

# Interactive proofs [Babai '85] [Goldwasser, Micali, Rackoff '85]

Verifier's job:

- Completeness:  $x \in L \Rightarrow \exists P. V$  accepts with prob.  $\geq a(|x|)$
- Soundness:  $x \notin L \Rightarrow \forall P. V$  accepts with prob.  $\leq b(|x|)$

System has *bounded error* when  $a(n) - b(n) \geq 1/\text{poly}$

IP: Class of languages  $L$  having a bounded-error IP system

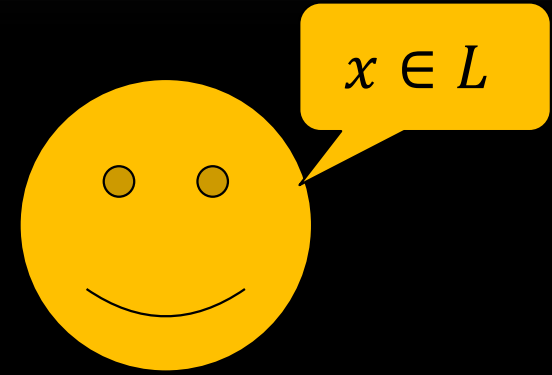
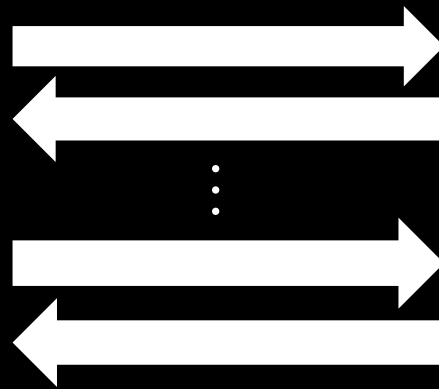
IP = PSPACE

[Lund, Fortnow, Karloff, Nisan FOCS'90; Shamir FOCS'90]

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# Quantum interactive proofs [Watrous FOCS'99]

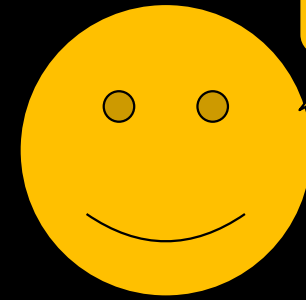
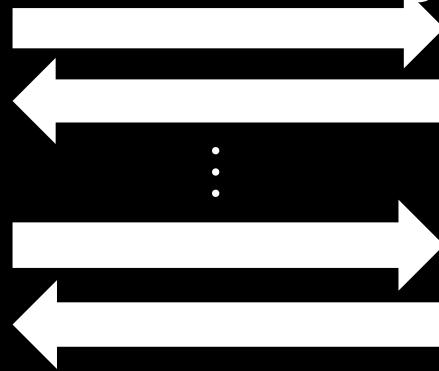
Verifier

(**Quantum** poly-time)

Prover

(Computationally unbounded)

(**Quantum** messages)



$x \in L$



Accept (convinced)

Reject (unconvinced)

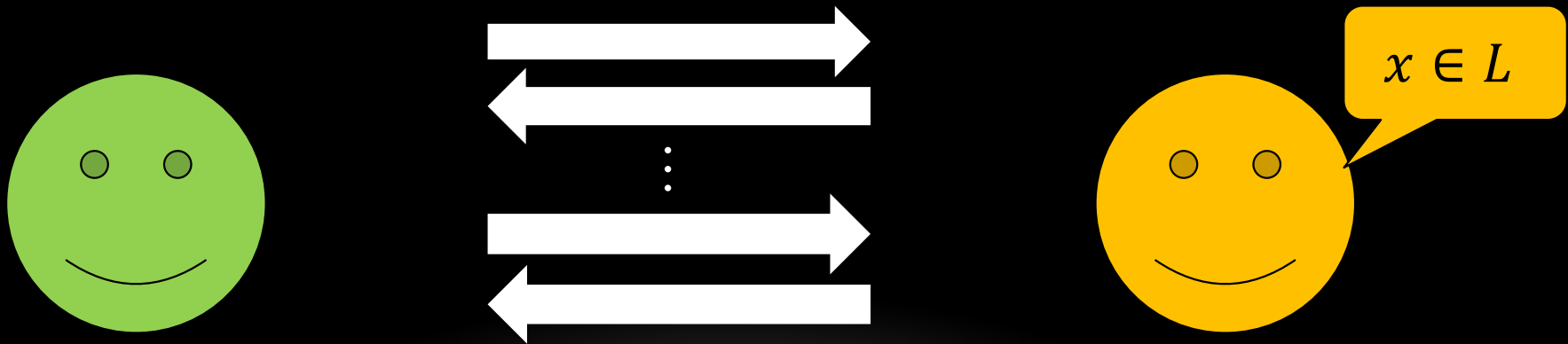
QIP: Class of languages  $L$  having a bounded-error quantum IP system



# Quantum interactive proofs

Very different from classical IP in some senses:

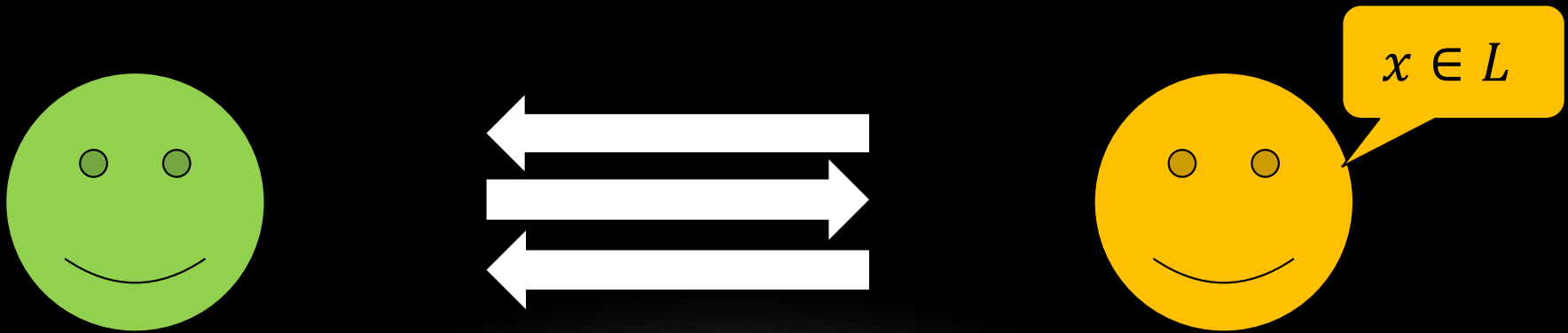
- Parallelizable to 3 messages [Kitaev, Watrous STOC'00]
- Verifier only has to send one bit which is coin flip [Marriott, Watrous CCC'04]



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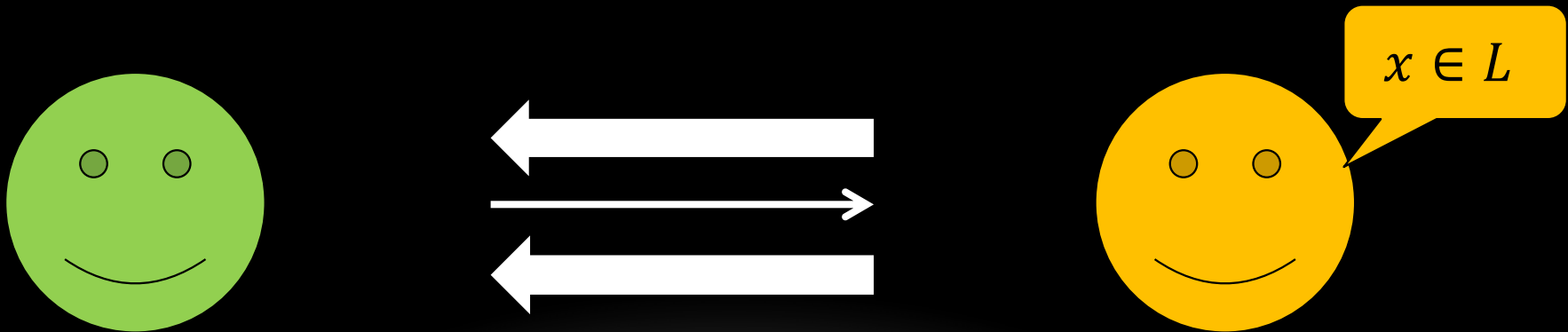
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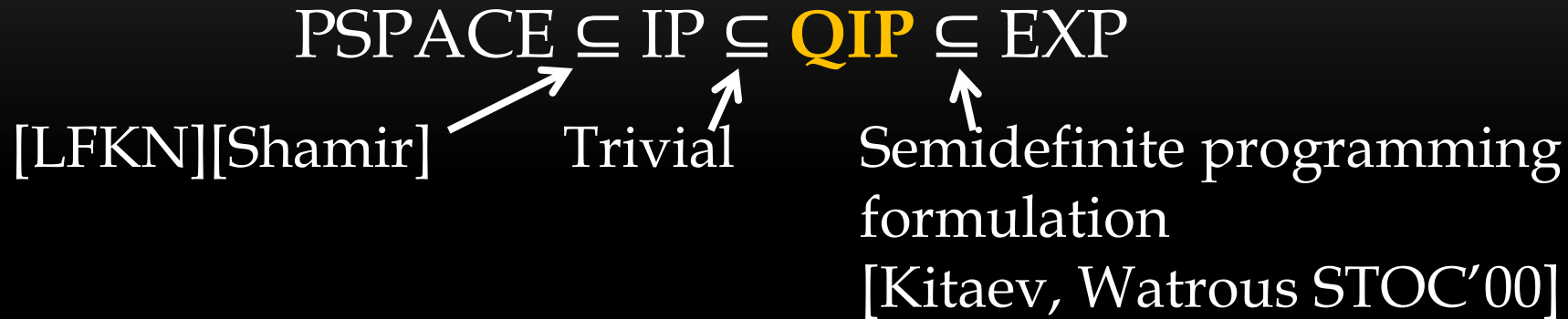
# Quantum interactive proofs

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- Parallelizable to 3 messages [Kitaev, Watrous STOC'00]
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# Power of quantum interactive proofs



[Jain, Ji, Upadhyay, Watrous STOC'10]:

$$\text{QIP} = \text{PSPACE}$$

Approximates the optimal prover by a fast parallel algorithm;  
heavily depends on *bounded-error* assumption



$\text{IP} \subseteq \text{PSPACE}$  is easy: enumerate all possible responses  
for provers in poly-space and choose the best one

# Main result

QIP with  $2^{-2^{\text{poly}}}$  gap = EXP

(with a standard gate set:

Toffoli, Hadamard,  $\pi/2$ -phase shift)

Consequences: Several new differences  
between classical and quantum interactive proofs

- $\text{IP} \neq \text{QIP}$  in the unbounded-error setting\*
- Bounded-error assumption in [JJUW10] is necessary\*
- QIP systems can have  $2^{-2^{\text{poly}}}$  gap, unlike IP systems

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\* Unless  $\text{PSPACE} = \text{EXP}$

Easy direction: QIP with  $2^{-2^{\text{poly}}}$  gap  $\subseteq$  EXP

Immediate from a direct formulation of QIP systems by semidefinite programs [Gutoski, Watrous STOC'07]

QIP system

→ Semidefinite program of exponential size

→ Solve it to double-exp precision by standard algorithms for SDP

(This only uses a very special case of [GW07]:

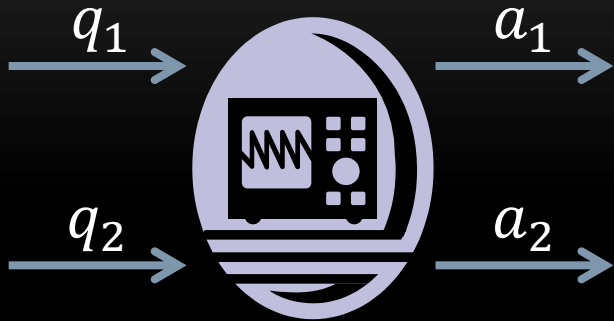
[GW07] implies quantum refereed games with  $2^{-2^{\text{poly}}}$  gap are still  $\subseteq$  EXP)

# Proof outline: QIP with $2^{-2^{\text{poly}}}$ gap $\supseteq$ EXP

1. Construct a no-signaling 2-prover 1-round interactive proof system with  $2^{-2^{\text{poly}}}$  gap for an EXP-complete problem
2. Convert it to a QIP system without ruining the gap

# No-signaling box

[Khalfin and Tsirelson '85]  
[Rastall '85]



Prob. dist.  $p(a_1, a_2 | q_1, q_2)$   
satisfying *no-signaling conditions*:

- Marginal distribution of  $a_1$  only depends on  $q_1$

$$p_1(a_1 | q_1) = \sum_{a_2} p(a_1, a_2 | q_1, q_2)$$

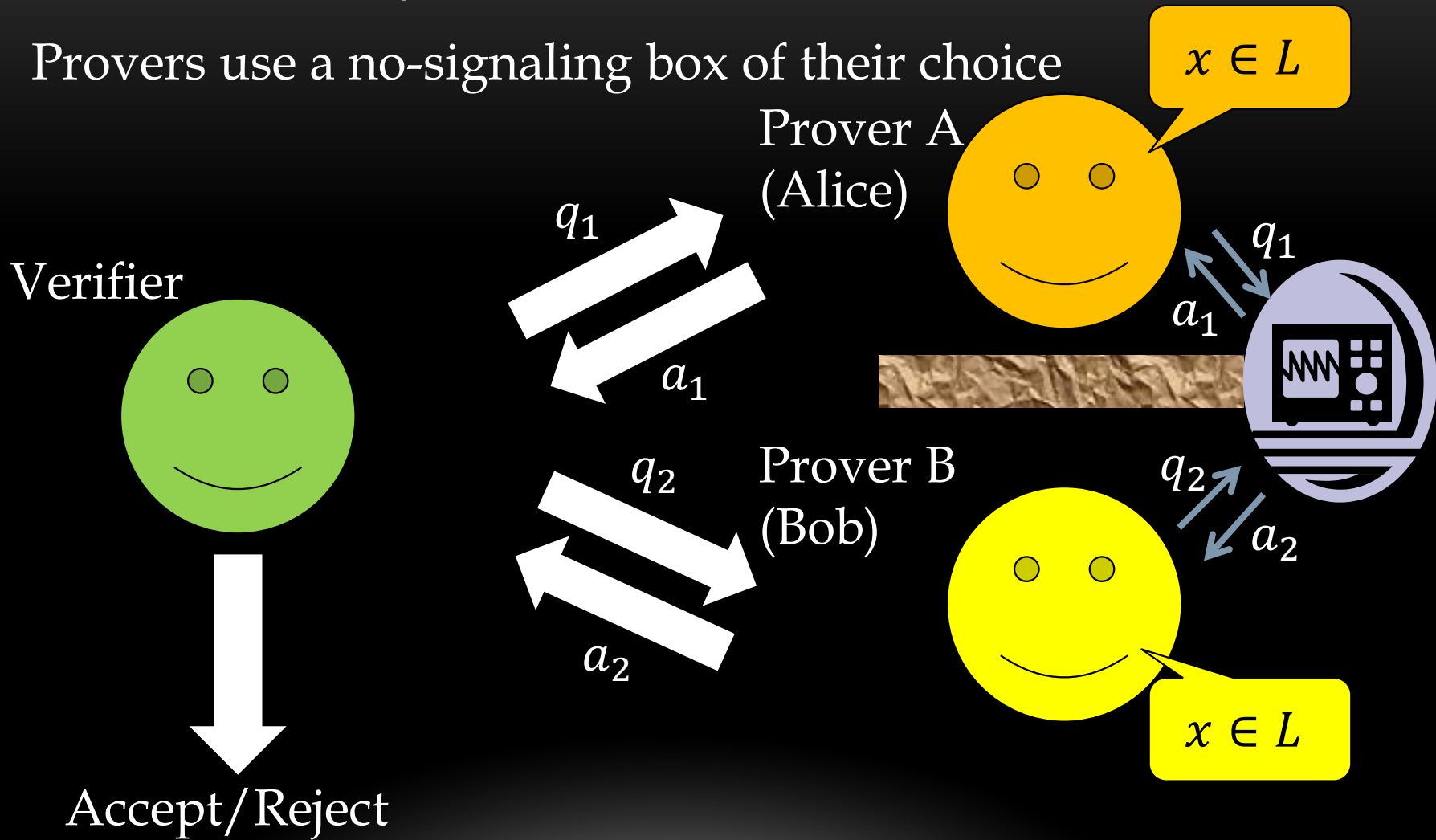
- Marginal distribution of  $a_2$  only depends on  $q_2$

$$p_2(a_2 | q_2) = \sum_{a_1} p(a_1, a_2 | q_1, q_2)$$



# MIP<sup>ns</sup>(2,1) system (considered in [Holenstein '09] etc.)

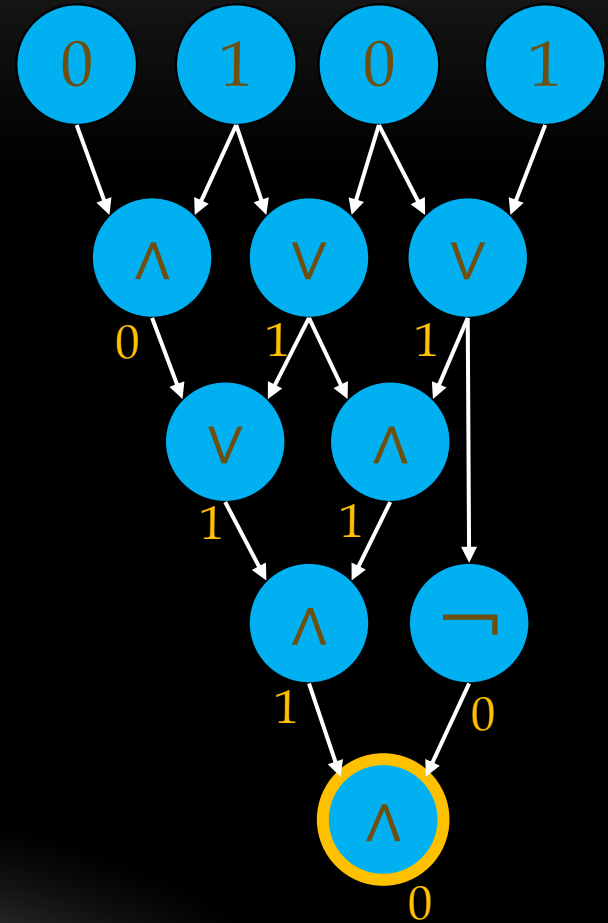
Provers use a no-signaling box of their choice



# EXP-complete problem: Succinct Circuit Value (SCV)

Given: Exponentially large  
Boolean circuit (suitably encoded)  
consisting of Const-0, Const-1,  
2-input AND, 2-input OR  
and NOT gates, and a gate  $g$  in it

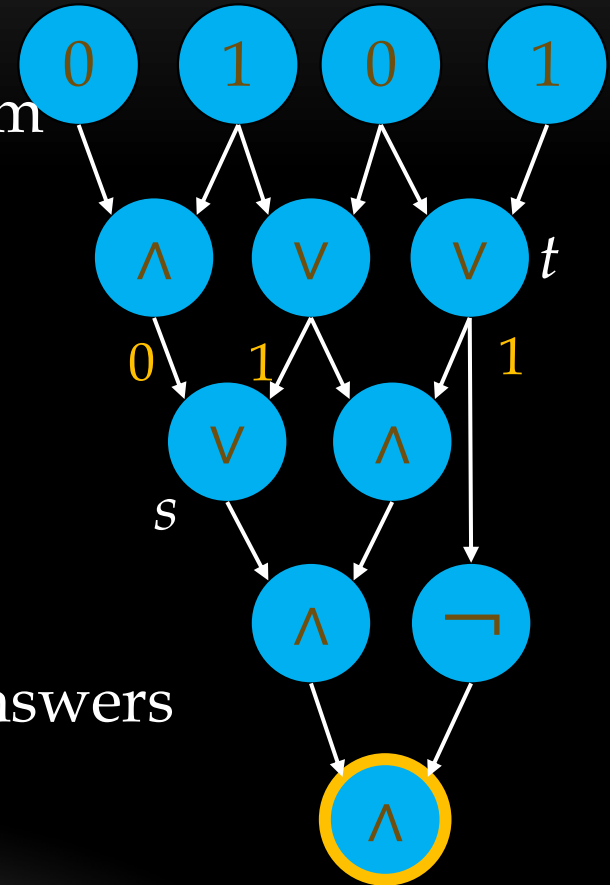
Question: Does the gate  $g$  output  
the value 1?



# 2-prover protocol for SCV

Verifier performs the following:

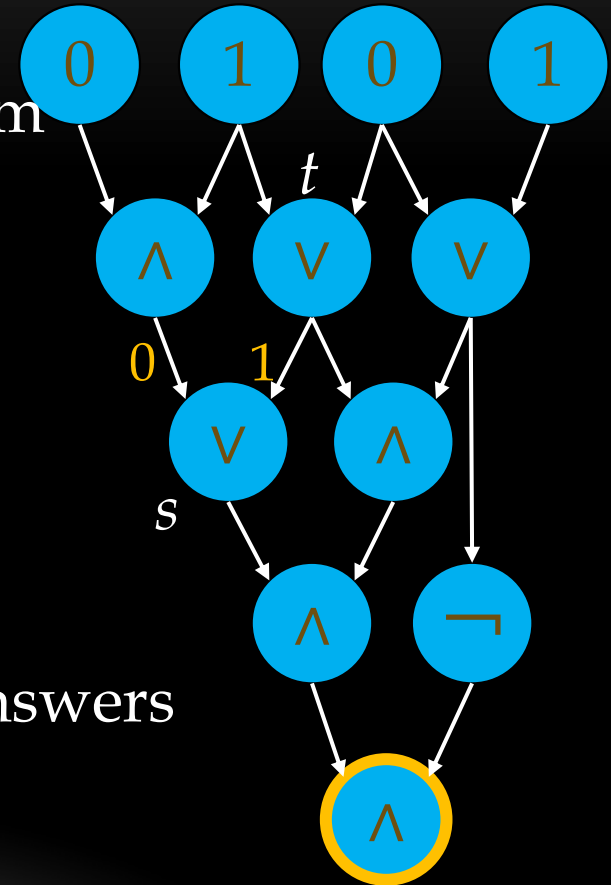
- Pick 2 gates  $s, t$  independently at random
- Ask Alice all the input values of gate  $s$ , and ask Bob the output value of gate  $t$
- Reject if anything is wrong:
  - $s=t \Rightarrow$  answers must be consistent with the gate type
  - $t$  is an input of  $s \Rightarrow$  corresponding answers must coincide
  - $t=g \Rightarrow$  Bob's answer must be 1



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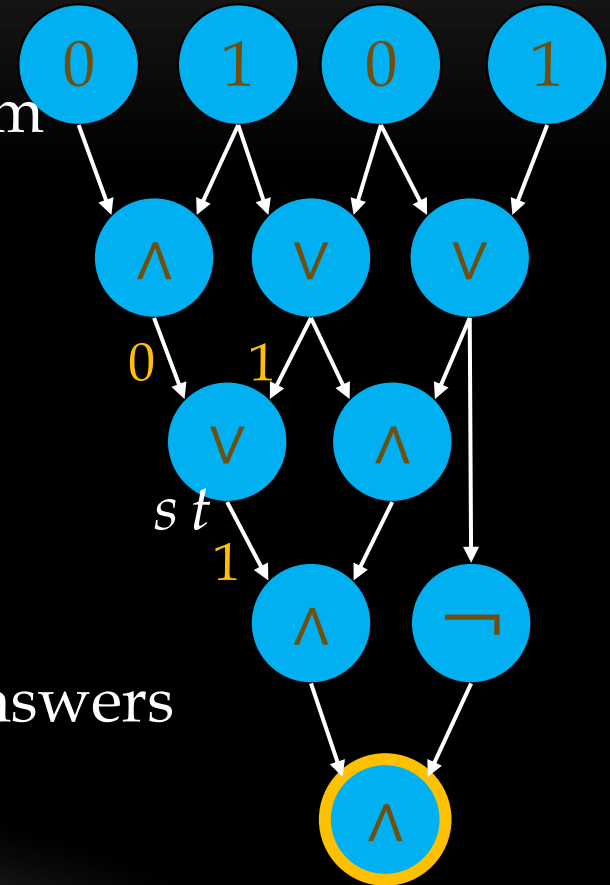
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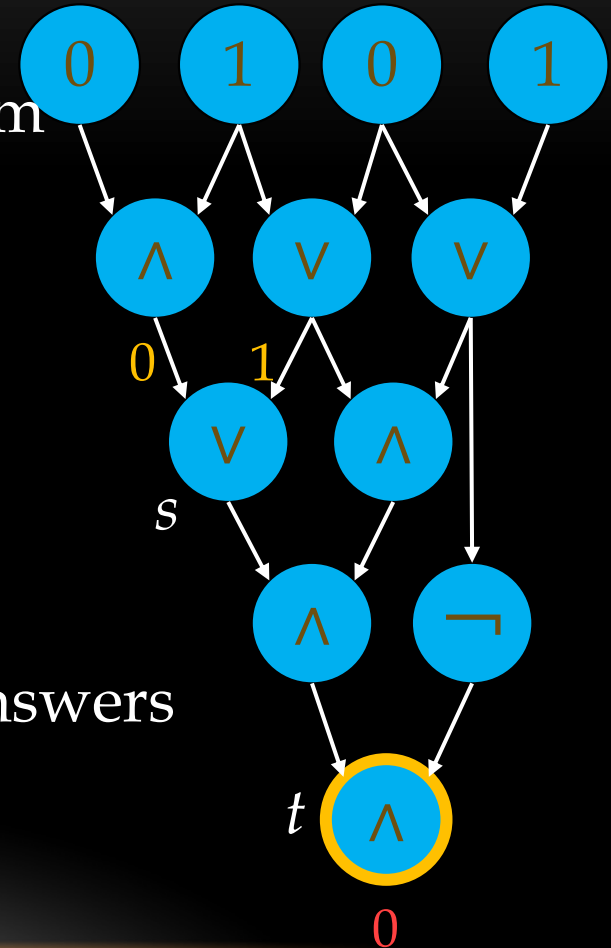
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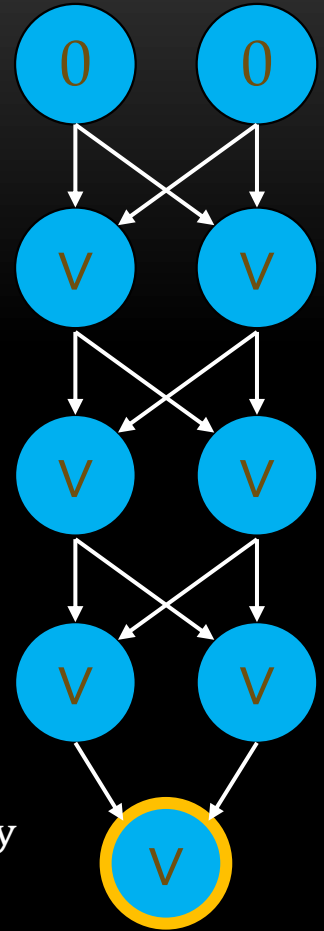
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# Properties

- Perfect completeness
- Verifier almost always accepts without checking anything
  - Soundness error can be as bad as  $1 - 4/N = 1 - 2^{-\text{poly}}$   
( $N$  = the number of gates)  
even without allowing no-signaling boxes
- Even worse with no-signaling boxes:  
Soundness error can be  $1 - 2^{-(N-1)/2} = 1 - 2^{-2^{\text{poly}}}$
- Soundness error is  $\leq 1 - 2^{-2^{\text{poly}}}$  even with no-signaling boxes  
(by simple proof using induction)



# No-signaling 2-prover 1-round system to QIP system

- Generate  $s, t$  as max-ent states:  $\sum_s |s\rangle_S |s\rangle_{S'} \otimes \sum_t |t\rangle_T |t\rangle_{T'}$
- Send both  $S$  and  $T$  to the prover, and receive  $S, T$  and corresponding answers  $A, B$ :

$$\sum_s |s\rangle_S |s\rangle_{S'} |a(s)\rangle_A \otimes \sum_t |t\rangle_T |t\rangle_{T'} |b(t)\rangle_B$$

$|s\rangle_S |s\rangle_{S'}$

- Randomly perform one of the following tests:
  1. Measure  $S', T', A, B$  and check the answers are consistent
  2. Send  $S$  and  $A$ , receive  $S$ , and check  $S$  and  $S'$  are max-ent
  3. Send  $T$  and  $B$ , receive  $T$ , and check  $T$  and  $T'$  are max-ent



# Properties

- Perfect completeness
- Soundness error  $\geq 1 - 2^{-2^{\text{poly}}}$
- Soundness error  $\leq 1 - 2^{-2^{\text{poly}}}$ :
  - Verifier's test ensures prover acts according to some "approximately no-signaling" strategy in 2-prover protocol
  - Soundness of 2-prover protocol ensures if  $x \notin L$ , no-signaling strategies cannot make verifier accept well
  - [Holenstein'09] "Approximately no-signaling" strategies cannot outperform no-signaling strategies by much

# Other results

- QIP(2) (= 2-message QIP) with  $2^{-\text{poly}}$  gap  $\supseteq$  PSPACE  
(easy consequence of [Wehner ICALP'06])
- Upper bounds on some classes with sharp threshold
  - QIP with no gap  $\subseteq$  EXPSPACE  
(use [GW07] and PSPACE algorithm  
for exact semidefinite feasibility problem [Canny STOC'88])
  - QMA<sub>1</sub> (= 1-message QIP with perfect completeness)  
with no gap  $\subseteq$  PSPACE  
(use [MW04] and a parallel algorithm for linear  
dependence [Csanky '76])

# Open problems

- $\text{PSPACE} \subseteq \text{QIP with } 2^{-\text{poly}} \text{ gap} \subseteq \text{EXP}$

Can we reduce the error of multiplicative weights update?

- $\text{EXP} \subseteq \text{QIP without gap} \subseteq \text{EXPSPACE}$

Does semidefinite feasibility have a QIP protocol without gap?  
How small can be the gap of QIP protocols?

- $\text{PSPACE} \subseteq \text{QIP}(2) \text{ without gap} \subseteq \text{EXPSPACE}$

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Answering these hopefully leads to new paradigms  
for protocol construction / simulation